



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Hisao SHIGEMATSU, et al.**

Group Art Unit: 2815

Serial No.: 10/092,526

Examiner: Paul E. Brock II

Filed: March 8, 2002

Confirmation No.: 4590

For: **SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING
THE SAME**

Attorney Docket No.: 981380A

Customer Number: 38834

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97(d)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

July 9, 2004

Sir:

The attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached PTO/SB/08. One copy of each document is attached. An English language Abstract of each foreign reference herein is enclosed. Also enclosed is a copy of a Japanese Office Action from a related foreign application. Also attached is a prior art information list providing a concise explanation of relevance for some of the cited references.

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee. A check is attached hereto which covers the \$ 180.00 fee set forth in 37 CFR § 1.17(p).

The undersigned hereby certifies:

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Serial No.: **10/092,526**

Information Disclosure Statement Under 37 C.F.R. §1.97(d)

July 9, 2004

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO/SB/08 is made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully Submitted,

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Prior Art Information List

| | Foreign Patent Document | Issue Date | Concise Explanation of the Relevance (indication of page, column, line figure of the relevant portion) |
|----------------|-------------------------|------------|--|
| 2/6 | JP 06-252163 | 09-09-1994 | FIGS. 1, 6, 24, 58 |
| 1 | JP 06-188254 | 07-08-1994 | FIG. 6 |
| | JP 05-304165 | 11-16-1993 | |
| | JP 03-192725 | 08-22-1991 | FIG. 1 |
| | JP 04-083345 | 03-17-1992 | FIGs. 1, 2 |
| | JP 09-205101 | 08-05-1997 | |
| 2/6 | JP 09-074104 | 03-18-1997 | |

Paul E. Brock

11/9/2009